

## Gravity dual of a quantum Hall plateau transition

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**ABSTRACT:** We show how to model the transition between distinct quantum Hall plateaus in terms of D-branes in string theory. A low energy theory of  $2 + 1$  dimensional fermions is obtained by considering the D3-D7 system, and the plateau transition corresponds to moving the branes through one another. We study the transition at strong coupling using gauge/gravity duality and the probe approximation. Strong coupling leads to a novel kind of plateau transition: at low temperatures the transition remains discontinuous due to the effects of dynamical symmetry breaking and mass generation, and at high temperatures is only partially smoothed out.

**KEYWORDS:** Field Theories in Lower Dimensions, Gauge-gravity correspondence, Intersecting branes models, AdS-CFT Correspondence.

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## Contents

<b>1. Introduction</b>	<b>1</b>
<b>2. Field theory models</b>	<b>4</b>
2.1 Free fermions	4
2.2 Quantum Hall plateau transition	6
2.3 Including interactions: the Gross-Neveu model in $D = 2 + 1$	7
2.4 Finite temperature	8
2.5 Plateau transition in the Gross-Neveu model	9
<b>3. Gravity side: quantum Hall-ography</b>	<b>10</b>
3.1 Weak coupling brane setup	10
3.2 Gravity setup	11
3.3 Zero temperature	12
3.4 Finite temperature	14
<b>4. Conductivity of black hole embedding</b>	<b>16</b>
<b>5. Discussion</b>	<b>20</b>

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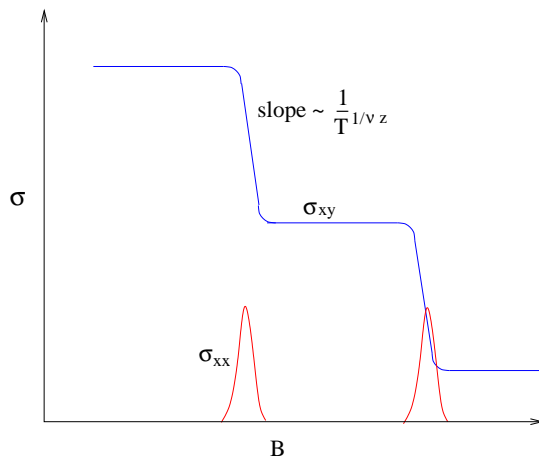
## 1. Introduction

The quantum Hall effect (QHE) is one of the most fascinating phenomena in condensed matter physics, and has commanded the attention of theorists and experimentalists alike for nearly 30 years.<sup>1</sup> It is also a very general effect, relying on just a few underlying properties: any system of effectively  $2 + 1$  dimensional charged particles with broken parity symmetry and an energy gap for charged excitations can be expected to exhibit quantum Hall behavior. In the condensed matter setting, these properties typically are realized by electrons in semiconductor junctions subject to low temperature and large magnetic fields. The magnetic field breaks the parity symmetry, and the energy gap arises from a combination of Landau level quantization, disorder, and (at least in the fractional case) electron-electron interactions. These properties also arise rather naturally in terms of D-brane configurations in string theory, which is our interest here.

An especially interesting aspect of quantum Hall physics, and one which is still not completely understood to this day, is the transition between distinct plateaus. At zero temperature, as we dial some control parameter such as the magnetic field, the Hall conductivity  $\sigma_{xy}$  is seen to jump from one quantized value to another. The longitudinal

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<sup>1</sup>For reviews and references to the original literature see e.g., [1–5].



**Figure 1:** Schematic illustration of quantum Hall plateau transitions at small but finite temperature. The longitudinal conductivity increases sharply at the transition. The slope of the transverse conductivity defines the critical exponent  $\nu z$ .

conductivity vanishes except precisely at the transition point. The transition point is realized when the energy gap of the system vanishes, and the theory at the transition is a quantum critical point, meaning that it can be described by a scale invariant theory in  $2+1$  dimensions [6] (to be distinguished from a critical point controlled by thermal fluctuations, which is described by a scale invariant Euclidean theory living in the spatial dimensions).

The transition is smoothed out at finite temperature. The slope of  $\sigma_{xy}$  versus  $B$  becomes finite at the transition, obeying a scaling behavior

$$\frac{\partial \sigma_{xy}}{\partial B} \sim \frac{1}{T^{1/\nu z}}. \tag{1.1}$$

Here  $\nu$  parameterizes the divergence of the spatial correlation length and  $z$  is the dynamical critical exponent, determining the relative scalings of time and space at the critical point. The exponents  $\nu$  and  $z$  have been measured in various integer and fractional plateau transitions, yielding  $z \approx 1$  and  $\nu \approx 2.4$ . Since the same values are measured for different transitions in different materials, there is evidence of a universal critical theory. See the review [7] for further discussion of the measurement of these scaling exponents and their relation to quantum criticality.

On the theoretical side, the transition is usually modeled as a disorder mediated localization-delocalization transition; see the article by Pruisken in [1] and the review [8]. The essential idea is that the random potential due to impurities modifies the Landau level spectrum, localizing all states except for a finite number which remain delocalized. The plateau transition occurs as the Fermi level crosses the energy of a delocalized state, resulting in a vanishing energy gap and a sharp change in the conductance. The result  $\nu \approx 2.4$  can be computed numerically given a specific model of the disorder. These computations are usually carried out for free electrons; the study of disorder in interacting electron systems, relevant for the fractional QHE, is much more difficult and hence less understood.

Quantum Hall plateau transitions also occur in idealized systems without disorder. Perhaps the simplest such example is that of free relativistic fermions in 2+1 dimensions [9]. Here the control parameter is the fermion mass  $m$ . Even without a background magnetic field or charge density, the conductivity is nonzero due to vacuum polarization, with the transverse conductivity exhibiting half-integer quantization. A fermion mass term in 2 + 1 dimensions is parity odd, and a plateau transition is realized by smoothly changing the sign of the mass. The quantum critical point in this case is just the theory of a free massless fermion, with critical exponents  $z = \nu = 1$ . In [9] this model was used as a starting point to which disorder could be added.

A low energy theory of free fermions in 2 + 1 dimensions is easily obtained in string theory by considering a 2 + 1 dimensional intersection of D3-branes and D7-branes at zero string coupling. This is a  $\#ND = 6$  system, which is non-supersymmetric but tachyon free. The fermion mass is equal to the separation of the branes in the one common transverse direction. The T-dual D4-D8 system has been heavily studied in recent years as a model of QCD with chiral fermions (the Sakai-Sugimoto model [10]), and the D3-D7 system can be studied using similar methods. More recently, the D3-D7 system was proposed by Rey to give a description of the quantum critical point of graphene [11] (and article to appear). As will be discussed, we will not actually find a quantum critical point in our setup (both on the gravity side and in our field theory model at strong coupling), but our methods and some of our results overlap with the earlier work of Rey.

In D-brane terms, the plateau transition is realized by starting with a nonzero transverse separation, taking it to zero, and then reversing its sign.<sup>2</sup> We will be interested in the dual gravity description of this operation, valid in the strong coupling regime. In particular, in the limit of large  $N_{D3}$  and  $g_s N_{D3}$ , we can replace the D3-branes by their corresponding supergravity solution. For  $N_{D7} \ll N_{D3}$  we can treat the D7-branes as probes in this background geometry [12]. There is an extensive literature on using this probe approximation to study QCD with quarks, as well as related theories; see e.g. [13 – 26] for studies of the phase structure of QCD-like theories with fundamental matter and [27] for a thorough review of the literature. The nicest feature of this setup is that dynamical symmetry breaking and mass generation have a simple geometrical manifestation [10, 28, 29].

We study the plateau transition in the interacting theory, both on the field theory side and in gravity. The main result is that at strong coupling the nature of the transition is strongly affected by the interactions, as can be seen by comparing figures 1 and 6. In particular, the transition is not completely smoothed out by turning on a nonzero temperature. The transverse conductivity instead continues to exhibit a finite jump, due to the underlying dynamical mass generation. On the field theory side we model the interactions in terms of four-Fermi interactions. Above some critical temperature  $T_c$  the dynamically generated mass vanishes and the transition is completely smoothed out. The situation on the gravity side is somewhat more complicated. There is again a critical temperature  $T_c$ , but now for  $T > T_c$  the transition is only partially smoothed out. In

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<sup>2</sup>In other words, passing the D7-brane and D3-brane stacks through each other, with the critical point occurring when they are coincident.

geometrical terms, the smoothed out region corresponds to a family of probe geometries which fall through the horizon of the finite temperature black hole geometry. Branes which fall through black hole horizons exhibit dissipative behavior, and in particular a nonzero longitudinal conductivity, which we compute using standard techniques.

It is of course natural to wonder whether the strong coupling effects that we find could have any analog in the real world. While we do not know the answer to this, it is worth noting that dynamical symmetry breaking has been proposed to play a role in the quantum Hall effect in graphene [30–32] including the recently observed quantum Hall insulator transition [33]. It would be interesting to explore this possibility further.

The study of holographic and string theory models directed at addressing problems in condensed matter systems is a burgeoning field. Recent attention has been directed towards holographic realizations of superconductors [34–41] and the AdS/Galilean CFT correspondence approach [42–46] to the study of fermions at unitarity.<sup>3</sup> Further topics of holographic investigation have included Fermi liquids [48, 49], non-Lorentz invariant fixed points [50], non-equilibrium statistical mechanics [51], and non-linear hydrodynamics [52–55]. There are also a number of previous works discussing the QHE.<sup>4</sup> Older papers, not involving the AdS/CFT correspondence, include [58–64] while the recent [65] provides an effective holographic model of the Zhang-Hansson-Kivelson theory [66, 67] of fractional quantum Hall plateaus.

The remainder of the paper is organized as follows. Section 2 is devoted to field theory models of the plateau transition. The free fermion theory and four-fermion interactions are discussed, at zero and finite temperatures, with the role of the parity anomaly and induced Chern-Simons terms emphasized. In section 3, we introduce our model of intersecting D3 and D7 branes and describe probe solutions of the D7 branes in the D3 brane background. The dual geometric realization of the plateau transition is discussed. The longitudinal and Hall conductivities of our scenario are computed in section 4. Finally, we close with some comments in section 5.

## 2. Field theory models

### 2.1 Free fermions

In this section we collect some facts about free fermions in 2+1 dimensions (see also [68, 69]). The basic building block is a two-component Majorana spinor, but for present purposes it is more convenient to combine these into two-component complex spinors. The Dirac action for  $N$  such fields,

$$\mathcal{L} = \bar{\psi}^i i \not{\partial} \psi_i \tag{2.1}$$

is invariant under a  $O(2N)$  global symmetry, as well as  $P$ ,  $C$  and  $T$ . Parity flips one of the spatial coordinates, say  $x^1$ , acting on the spinors as  $\psi_i \rightarrow \gamma^1 \psi_i$ , and leaves (2.1) invariant. However, an important point is that the mass term  $m \bar{\psi} \psi$  is parity *odd*.

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<sup>3</sup>See [47] for a brief summary of the unitarity fermion system.

<sup>4</sup>The classical hall conductivity was studied using AdS/CFT in [56, 57].

Although there is no chiral symmetry in  $2 + 1$  dimensions, a somewhat analogous symmetry is realized by considering an action of the form

$$\mathcal{L} = \bar{\psi}_+^i i \not{\partial} \psi_{+i} + \bar{\psi}_-^i i \not{\partial} \psi_{-i} + m \bar{\psi}_+^i \psi_{+i} - m \bar{\psi}_-^i \psi_{-i} . \quad (2.2)$$

This action is parity even (taking parity to swap the  $\pm$  labels) and has a  $O(2N)_+ \times O(2N)_-$  global symmetry, enhanced to  $O(4N)$  for  $m = 0$ .

In (2.2) we are labeling massive fermions as  $\pm$  according to the sign of their mass terms. For massless fermions there is no such distinction in the classical action, but one arises in the quantum theory due to the parity anomaly [70], as we'll see in more detail below. For the moment, we note that this arises from regulating UV divergences in one-loop diagrams in the presence of an external gauge field. Pauli-Villars provides a gauge invariant regularization, but breaks parity due to the need to choose a sign for the mass of the regulator fermion. The anomaly only afflicts theories with an odd number of fermions, since with an even number we can preserve parity by choosing equal numbers of positive and negative mass regulator fields.

We now couple the fermions to a  $U(1)$  gauge field (dropping the  $\pm$  label),

$$\mathcal{L} = \bar{\psi}^i (i \not{\partial} - m - A) \psi_i \quad (2.3)$$

and compute the one-loop effective action for the gauge field,

$$S_{\text{eff}} = \int \frac{d^3 p}{(2\pi)^3} \Pi^{\mu\nu}(p) A_\mu(p) A_\nu(-p) . \quad (2.4)$$

The vacuum polarization diagram has parity even and odd parts:

$$\begin{aligned} \Pi^{\mu\nu}(p) &= \int \frac{d^3 k}{(2\pi)^3} \text{Tr} \left[ \gamma^\mu \frac{\not{p} + \not{k} - m}{(p+k)^2 - m^2} \gamma^\nu \frac{\not{k} - m}{k^2 - m^2} \right] \\ &= (p^\mu p^\nu - g^{\mu\nu} p^2) \Pi_{\text{even}}(p) + \epsilon^{\mu\nu\lambda} p_\lambda \Pi_{\text{odd}}(p) . \end{aligned} \quad (2.5)$$

A standard computation in dimensional regularization yields

$$\begin{aligned} \Pi_{\text{even}}(p) &= -\frac{N}{2\pi} \frac{1}{\sqrt{p^2}} \left[ \frac{1}{2} \frac{|m|}{\sqrt{p^2}} - \left( \frac{m^2}{p^2} - \frac{1}{4} \right) \arctan \left( \frac{\sqrt{p^2}}{2|m|} \right) \right] \\ \Pi_{\text{odd}}(p) &= -\frac{N}{2\pi} \frac{m}{\sqrt{p^2}} \arctan \left( \frac{\sqrt{p^2}}{2|m|} \right) . \end{aligned} \quad (2.6)$$

The small momentum limit of  $\Pi_{\text{odd}}$  translates into a Chern-Simons term for the gauge field,

$$S_{\text{CS}} = \frac{k}{4\pi} \int d^3 x \epsilon^{\mu\nu\lambda} A_\mu \partial_\nu A_\lambda \quad (2.7)$$

with

$$k = \begin{cases} \frac{N}{2} \text{sgn}(m) & m \neq 0 \\ 0 & m = 0 \end{cases} \quad (2.8)$$

Equivalently, this yields a transverse DC conductivity  $\sigma_{ij}^T = \frac{k}{2\pi} \epsilon_{ij}$ .

The parity anomaly follows from this result. When we compute the effective action for a massless fermion together with its Pauli-Villars regulator field, we will obtain a parity violating Chern-Simons term of coefficient  $k = \pm \frac{1}{2}$  [70]. Parity can be restored by adding by hand a Chern-Simons term of opposite sign, but this violates invariance under gauge transformations with support at infinity. The parity anomaly is the statement that we cannot preserve both parity and gauge invariance simultaneously.

From  $\Pi_{\text{even}}(p)$  we read off the longitudinal AC conductivity from the Kubo formula:

$$\sigma_{ij}^L(\omega) = \text{Im} \frac{1}{\omega} \Pi_{\text{even}}^{ij}(p), \quad p^\mu = (\omega, 0, 0). \quad (2.9)$$

In particular, the DC conductivity is

$$\sigma_{ij}^L(0) = \begin{cases} 0 & m \neq 0 \\ \frac{N}{16} \delta_{ij} & m = 0 \end{cases} \quad (2.10)$$

The computation of the Chern-Simons term can be generalized to finite temperature, with the result [68]

$$k(T) = N \tanh\left(\frac{|m|}{2T}\right) \text{sgn}(m). \quad (2.11)$$

## 2.2 Quantum Hall plateau transition

This simple system of free fermions exhibits a quantum Hall plateau transition due to the discontinuous behavior of the conductivities as a function of mass [9]. Suppose we start with  $N$  fermions, all with positive mass. Now evolve one of the masses, say  $m_1$ , smoothly to a negative value. The conductivities as a function of  $m_1$  are

$$\begin{aligned} \sigma_{xx} &= \begin{cases} 0 & m_1 > 0 \\ \frac{1}{16} & m_1 = 0 \\ 0 & m_1 < 0 \end{cases} \\ \sigma_{xy} &= \begin{cases} \frac{N}{4\pi} & m_1 > 0 \\ \frac{N-1}{4\pi} & m_1 = 0 \\ \frac{N-2}{4\pi} & m_1 < 0 \end{cases} \end{aligned} \quad (2.12)$$

The jump  $\Delta\sigma_{xy} = \frac{1}{2\pi} = \frac{e^2}{h}$  is the same as observed in the experimentally realized integer quantum Hall effect. At  $m_1 = 0$  we have a quantum critical point, which in this case is just the trivial theory of a free massless fermion. Since this is a Lorentz invariant theory, it has a corresponding dynamic critical exponent  $z = 1$ , which turns out to be the value observed experimentally (see footnote at end of next paragraph).

At zero temperature the jump in conductivities occurs precisely at  $m_1 = 0$ . Finite temperature smooths out the transition, giving it a width (from (2.11))  $\Delta m_1 \sim T$ , as indeed follows from dimensional analysis. This behavior defines a critical exponent  $\nu$  in terms of the derivative of the conductivity at the transition point,

$$\left. \frac{\partial \sigma_{xy}}{\partial m_1} \right|_{m_1=0} \sim \frac{1}{T^{1/\nu z}}. \quad (2.13)$$

Since  $z = 1$ , we find  $\nu = 1$  for free fermions. By contrast, the experimentally measured value of  $\nu$  for a wide class of quantum Hall transitions is  $\nu \approx 2.4$ .<sup>5</sup>

### 2.3 Including interactions: the Gross-Neveu model in $D = 2 + 1$

Our gravitational description of the plateau transition will be dual to that in a strongly coupled field theory. With this in mind, we now consider including interactions in our field theory model.

We consider the following Lagrangian [75],

$$\begin{aligned} \mathcal{L} &= i\bar{\psi}^i \not{\partial} \psi_i + \frac{g^2}{2N} (\bar{\psi}^i \psi_i)^2 \\ &\cong i\bar{\psi}^i \not{\partial} \psi_i - \sigma \bar{\psi}^i \psi_i - \frac{N\sigma^2}{2g^2} . \end{aligned} \tag{2.14}$$

In the second line we have written an equivalent Lagrangian by introducing the auxiliary field  $\sigma$ . Integrating out  $\sigma$  gives back the original Lagrangian in the first line. We are taking the fermions to be two-component complex spinors.

We will take  $N \gg 1$  and work to leading order in the  $1/N$  expansion. This theory is nonrenormalizable in weak coupling perturbation theory around  $g = 0$ , since  $(\bar{\psi}\psi)^2$  has mass dimension 4. On the other hand, it has long been known that this theory has a nontrivial UV fixed point [76, 69]. We will recall how this appears below, although we will not make significant use of this fact, since there is no obvious reason why the interacting fermion models realized in our D-brane construction lie near this fixed point.

The vacuum structure of the theory can be studied in terms of the effective potential for  $\sigma$ , obtained by integrating out the fermions:

$$\frac{1}{N} V_{\text{eff}}(\sigma) = \frac{\sigma^2}{2g^2} + \text{tr} \ln(i\not{\partial} - \sigma) . \tag{2.15}$$

It is easiest to first compute the derivative

$$\begin{aligned} \frac{1}{N} V'_{\text{eff}}(\sigma) &= \frac{\sigma}{g^2} - 2\sigma \int_{|p_E| < \Lambda} \frac{d^3 p_E}{(2\pi)^3} \frac{1}{p_E^2 + \sigma^2} \\ &= \frac{\sigma}{g^2} - \frac{\sigma}{\pi^2} \left[ \Lambda - \sigma \arctan\left(\frac{\Lambda}{\sigma}\right) \right] \end{aligned} \tag{2.16}$$

where we have Wick rotated the integral and imposed a hard UV cutoff.

In the large  $N$  limit we identify vacua by solving  $V'_{\text{eff}} = 0$  using the effective potential written above. Corrections to  $V_{\text{eff}}$  due to  $\sigma$  fluctuations are suppressed since the  $\sigma$  propagator carries a factor of  $1/N$ . Since  $\langle \sigma \rangle = -\frac{2g^2}{N} \langle \bar{\psi}_i \psi_i \rangle$ , parity is spontaneously broken for  $\langle \sigma \rangle \neq 0$ .

There is always a solution of  $V'_{\text{eff}} = 0$  at  $\sigma = 0$ , but it is only stable for sufficiently small  $g^2 \Lambda$ . Since  $\frac{1}{N} V''_{\text{eff}}(0) = \frac{1}{g^2} - \frac{\Lambda}{\pi^2}$ , we see that the critical coupling is

$$g_c^2 \Lambda = \pi^2 . \tag{2.17}$$

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<sup>5</sup>Strictly speaking, independent experiments measure the combinations of exponents  $1/\nu z \approx .42$  [71–73] and  $\nu(z+1) \approx 4.6$  [74].



For  $g < g_c$  the symmetry preserving vacuum is stable, while for  $g > g_c$  it is unstable.

Nontrivial solutions of  $V'_{\text{eff}} = 0$  obey the following gap equation

$$\frac{\sigma}{\Lambda} \arctan\left(\frac{\Lambda}{\sigma}\right) = 1 - \frac{\pi^2}{g^2 \Lambda}. \quad (2.18)$$

The function on the left always lies between 0 and 1, and so a solution only exists for  $g > g_c$ .

Combining the above results we find the phase structure. For  $g < g_c$  we have a stable phase of unbroken symmetry. For  $g > g_c$  we have a broken symmetry phase, which is readily seen to be stable. The vev  $\langle \bar{\psi}^i \psi_j \rangle = v \delta_j^i$  breaks parity. As  $g \rightarrow g_c$  from above, the magnitude of the condensate smoothly slides over to zero, corresponding to a second order phase transition (quantum critical point).

The theory near the critical point takes a simple form. Define a mass scale  $M$  by solving (2.18) near the critical coupling:  $M = 2\pi \left( \frac{1}{g_c^2 \Lambda} - \frac{1}{g^2 \Lambda} \right) \Lambda$ . The limit  $\Lambda \rightarrow \infty$  at fixed  $M$  then yields an effective potential

$$V_{\text{eff}}(\sigma) = \frac{N}{2\pi} \left( -\frac{1}{2} M \sigma^2 + \frac{1}{3} \sigma^3 \right). \quad (2.19)$$

By working out the full momentum dependent  $\sigma$  propagator one finds that it has large momentum behavior  $1/p$ , and so  $\sigma$  acquires scaling dimension 1 at the UV fixed point, as indicated by (2.19). This also shows that the fixed point persists order by order in the  $1/N$  expansion [76, 69] (the value of  $g_c^2 \Lambda$  changes with  $N$  of course.)

## 2.4 Finite temperature

Sufficiently large temperature will restore the spontaneously broken parity symmetry. This can be studied by extending the gap equation (2.18) to finite temperature. To motivate its form, go back to the expression for  $V'_{\text{eff}}$  in the top line of (2.16), and perform the  $p_E^0$  integration to get the zero temperature gap equation

$$\frac{\sigma}{g^2} - \sigma \int_{|p_E| < \Lambda} \frac{d^2 p_E}{(2\pi)^2} \frac{1}{E_p} = 0 \quad (2.20)$$

with  $E_p = \sqrt{p_E^2 + \sigma^2}$ . Note that  $\Lambda$  is now a cutoff on spatial momentum rather than three momentum. One can think of this equation as a self-consistent mass formula, in which the assumed fermion mass  $\sigma$  is set equal to the value obtained from interaction of the fermion with the Dirac sea. This intuition<sup>6</sup> makes it easy to write down the finite temperature version, which is

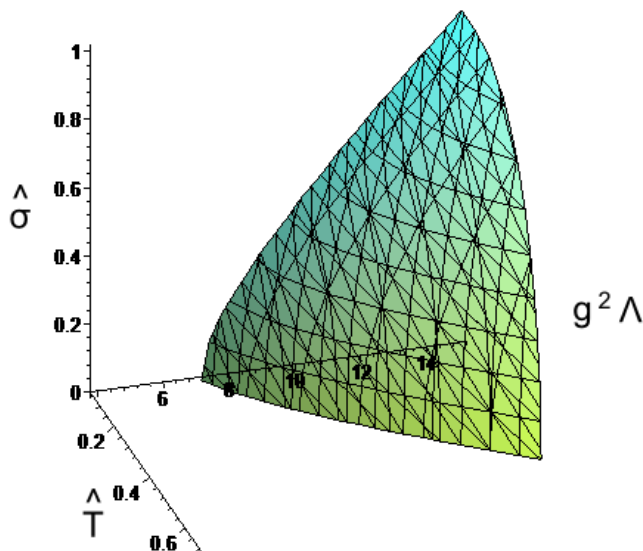
$$\frac{\sigma}{g^2} - \sigma \int_{|p_E| < \Lambda} \frac{d^2 p_E}{(2\pi)^2} \frac{1}{E_p} \left( 1 - 2n(\beta) \right) = 0 \quad (2.21)$$

where  $n(\beta)$  is the Fermi-Dirac distribution

$$n(\beta) = \frac{1}{e^{\beta E_p} + 1}. \quad (2.22)$$

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<sup>6</sup>which is of course in agreement with the result obtained by a systematic finite temperature field theory computation.



**Figure 2:** Solution to the finite temperature gap equation. Note that  $\sigma$  smoothly goes to zero with increasing  $T$  or decreasing  $g^2$ .

In terms of the dimensionless variables

$$\hat{\sigma} = \sigma/\Lambda, \quad \hat{\beta} = \Lambda\beta \quad (2.23)$$

the gap equation takes the form

$$\frac{\hat{\sigma}}{g^2\Lambda} - \frac{\hat{\sigma}}{2\pi} \int_0^1 dx \frac{x}{\sqrt{x^2 + \hat{\sigma}^2}} \left( \frac{e^{\hat{\beta}\sqrt{x^2 + \hat{\sigma}^2}} - 1}{e^{\hat{\beta}\sqrt{x^2 + \hat{\sigma}^2}} + 1} \right) = 0. \quad (2.24)$$

The gap equation admits nontrivial solutions for sufficiently large  $g^2\Lambda$  and  $\hat{\beta}$ . Symmetry is restored at weak coupling or high temperature in a continuous phase transition. The phase diagram is shown in the figure.

## 2.5 Plateau transition in the Gross-Neveu model

Now we describe how the plateau transition proceeds in this interacting theory. The story is different depending on whether we are in the weak or strong coupling phase. The strong coupling case,  $g > g_c$ , turns out to be the one relevant to our D-brane construction, so we focus on it. In this case, at zero temperature parity is broken and  $\langle\sigma\rangle = \pm v$ . The spectrum consists of  $N$  massive fermions, with dynamically generated masses  $m_{\text{dyn}} \sim \pm v$ . From this it follows that if we couple the theory to a  $U(1)$  gauge field, there will be a Chern-Simons term present with coefficient  $k = \pm \frac{N}{2}$ , and the corresponding transverse conductivity.

As in the free fermion example, we now give small bare masses to the fermions. In our D-brane theory all the fermions have the same mass  $m$ , and so we choose that here as well. We now examine the effect of smoothly changing the sign of  $m$  from positive to negative.

In the low temperature phase in which parity is spontaneously broken, the effect of  $m$  is simply to break the degeneracy between the two vacua related by parity. So as  $m$

passes through zero, the true vacuum jumps from  $\langle\sigma\rangle = v$  to  $\langle\sigma\rangle = -v$ . The sign of the Chern-Simons term also jumps, and so this is a quantum Hall plateau transition. Unlike in the free fermion example, the transition remains discontinuous at low temperature due to the dynamical symmetry breaking.

To make the transition continuous we need to turn on a finite temperature. In particular, for given coupling  $g$ , there exists a critical temperature  $T_c(g)$  at which the broken symmetry is restored and the plateau transition is continuous. In analogy with (2.13) we now define the critical exponent  $\nu z$  as<sup>7</sup>

$$\left.\frac{\partial\sigma_{xy}}{\partial m}\right|_{m=0} \sim \frac{1}{(T - T_c)^{1/\nu z}}. \tag{2.25}$$

To compute this exponent we should compute the induced Chern-Simons term from the current-current two-point function, as in (2.11). The difference from the previous computation is that we now have an interacting theory, and so the one-loop vacuum polarization diagram receives higher loop corrections from internal  $\sigma$  propagators. Therefore, the exponent  $\nu$  will depend on  $g^2\Lambda$  and  $N$  in a nontrivial way. However, in the large  $N$  limit the higher loop corrections are suppressed by factors of  $1/N$ , and the computation reduces to the free case. We conclude that  $\nu z = 1 + O(\frac{1}{N})$ .

The plateau transition becomes a quantum phase transition in the weak coupling regime,  $g < g_c$ , since then  $T_c = 0$ . Now  $z = 1$  by Lorentz invariance, and  $\nu = 1 + O(\frac{1}{N})$  as above.

### 3. Gravity side: quantum Hall-ography

#### 3.1 Weak coupling brane setup

We consider  $N_7$  D7-branes intersecting  $N_3$  D3-branes over  $2 + 1$  dimensions, according to

$$\begin{array}{cccccccccc} & 0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 \\ D3 : & \times & \times & \times & \times & & & & & & \\ D7 : & \times & \times & \times & & \times & \times & \times & \times & \times & \times \end{array} \tag{3.1}$$

We take the D3-branes to be located at  $x^9 = 0$ , and the D7-branes at  $x^9 = L$ . This is a non-supersymmetric brane intersection, the number of Neumann-Dirichlet directions being six. There is no tachyon, since the ground state energy in the NS sector is positive for  $\#ND = 6$ . For  $L = 0$  the massless spectrum consists of  $N = N_3N_7$  complex two-component spinors coming from the Ramond sector. The NS sector contributes only massive states. This is the setup presented by Rey in [11].

We take the directions  $x^3, x^4, \dots, x^8$  to be noncompact, so the D3 and D7 gauge couplings vanish when reduced to the  $2 + 1$  dimensional intersection. We are interested in the low energy theory of the fermions confined to the intersection. These fermions have various interaction terms suppressed by powers of the string scale, e.g.  $\mathcal{L} \sim l_{st}^{2n-3} (\bar{\psi}\psi)^n$ .

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<sup>7</sup>Since this is finite temperature transition rather than a quantum phase transition there is no meaningful dynamic critical exponent  $z$ , so we only speak of the combination  $\nu z$ .

This theory can be analyzed by the methods of section 2.3, though the details of course depend on the precise form of the interactions.

We will be interested in the spontaneous breaking of parity at strong coupling. We can define parity to be a  $\pi$  rotation in the  $x^8 - x^9$  plane. This acts as  $D7 \rightarrow \overline{D7}$  and  $L \rightarrow -L$ , which matches the action of parity in the field theory, where  $\psi_+ \rightarrow \psi_-$  and  $m \rightarrow -m$ , as discussed in section 2.1.

The gravity description will be studied in the probe approximation [12], in which  $N_7 \ll N_3$ . In this limit, for large  $N_3$  and  $gN_3$ , one can treat the D7-branes as probes in the supergravity geometry produced by the D3-branes. Such a description has been heavily studied in the case of supersymmetric brane intersections, such as D3-branes and D7-branes with a 3+1 dimensional intersection. In the non-supersymmetric case the basic idea is the same, though some of the behavior differs.

### 3.2 Gravity setup

The background geometry is the asymptotically flat, finite temperature D3-brane solution

$$ds^2 = f^{-\frac{1}{2}}(-hdt^2 + d\vec{x}^2) + f^{\frac{1}{2}}(h^{-1}dr^2 + r^2d\Omega_5^2) \quad (3.2)$$

with

$$\begin{aligned} f &= 1 + \frac{R^4}{r^4} \\ h &= 1 - \frac{r_+^4}{r^4} \end{aligned} \quad (3.3)$$

and we write

$$d\Omega_5^2 = d\psi^2 + \sin^2\psi d\Omega_4^2. \quad (3.4)$$

To compare with (3.1) we note that

$$x^9 = r \cos\psi. \quad (3.5)$$

The number of D3-branes and the Hawking temperature are given by

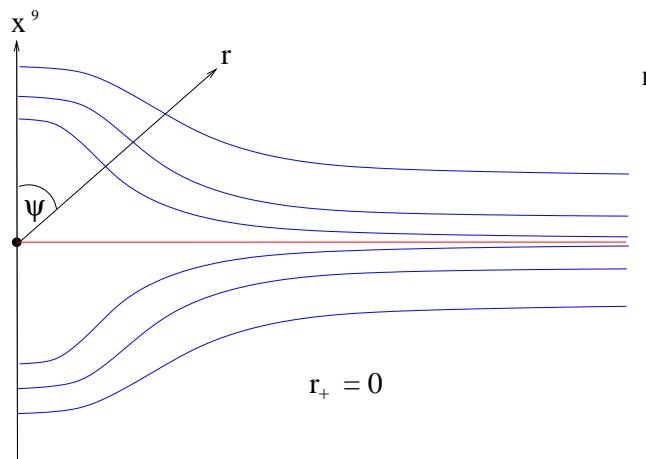
$$N_3 = \frac{R^2 \sqrt{r_+^4 + R^4}}{4\pi g\alpha'^2}, \quad T = \frac{r_+}{\pi \sqrt{r_+^4 + R^4}}. \quad (3.6)$$

Our D7-probe spans  $x^0, x^1, x^2$ , wraps the  $S^4$  displayed in (3.4), and lies on a curve  $r = r(\psi)$ . The induced worldvolume metric is

$$ds_8^2 = f^{-\frac{1}{2}}(-hdt^2 + dx^i dx^i) + f^{\frac{1}{2}}(h^{-1}r'^2 + r^2) d\psi^2 + f^{\frac{1}{2}}r^2 \sin^2\psi d\Omega_4^2, \quad (3.7)$$

with  $i = 1, 2$ . In the absence of worldvolume gauge fields, the worldvolume action is given by the Born-Infeld action as

$$S_{D7} = -N_7 T_7 \int d^8\xi \sqrt{-g_8} = -N_7 T_7 \text{Vol}(S^4) \int d^3x d\psi f^{\frac{1}{2}} r^4 \sqrt{r^2 h + r'^2} \sin^4\psi. \quad (3.8)$$



**Figure 3:** Zero temperature probe geometries (schematic). The repulsion of the probe D7-brane from the D3-brane at the origin leads to spontaneous parity symmetry breaking and dynamical mass generation. The red line denotes the  $x^9 = 0$  unstable symmetry preserving solution.

The equations of motion work out to be

$$r'' + 4r' \left( 1 + \frac{r'^2}{B} \right) \cot \psi - \frac{1}{B} \frac{dB}{dr} r'^2 - \frac{1}{2} \frac{dB}{dr} - \frac{(B + r'^2)}{A} \frac{dA}{dr} = 0 \quad (3.9)$$

with

$$A = r^4 f^{\frac{1}{2}}, \quad B = r^2 h. \quad (3.10)$$

A solution in the large  $r$  region is  $x^9 \equiv r \cos \psi = L$ , which matches the flat space D7-brane in (3.1).

Rather than taking the near horizon limit of the D3-brane solution, we have found it conceptually clearer to use the asymptotically flat version. This makes it easier to interpret the boundary conditions. In particular, we will impose the asymptotic boundary condition  $r \cos \psi = L$ , and identify  $L$  with the distance between the branes at weak coupling, which in turn determines the mass of the fermions,  $m = L/2\pi\alpha'$ . In contrast, it is not entirely clear to us how to identify this mass just using the near horizon probe solution. This aspect of the problem is different than for supersymmetric intersections, where  $r \cos \psi = L$  can be imposed as a boundary condition near the AdS boundary [12, 16, 77]. In our case, the AdS boundary behavior is more complicated.

### 3.3 Zero temperature

We first examine solutions in the zero temperature ( $r_+ = 0$ ) geometry. It is straightforward to solve (3.9) numerically. It is convenient to use the coordinate  $x^9 = r \cos \psi$ , so that the boundary condition is

$$x^9(\pi/2) = L. \quad (3.11)$$

The resulting solutions are displayed in figure 3.

The main feature of note is that the probe is effectively repelled from the D3-branes, so that  $x^9(\psi = 0)$  goes to a finite constant in the limit  $L \rightarrow 0$ . This repulsion arises from a competition of the positive and negative powers of  $f$  appearing in (3.2), and is familiar from the T-dual D0-D6 system [78].

Precisely at  $L = 0$  there is a special solution with  $x^9(\psi) = 0$ , corresponding to a brane wrapping the equatorial  $S^4$ . This solution is unstable to the brane slipping off the equator. To see this, note that the probe metric in the small  $r$  region is  $\text{AdS}_4 \times S^4$ . By expanding out the Born-Infeld action, one finds that the slipping mode corresponds to a scalar in  $\text{AdS}_4$  with  $m^2 R^2 = -4$ , below the Breitenlohner-Freedman bound [79] of  $m_{\text{BF}}^2 R^2 = -9/4$ . The endpoint of the instability is clear: the brane relaxes to one of the  $L = 0$  solutions displayed in figure 3.

The solutions nicely reproduce what we would expect for a theory of strongly coupled fermions in  $2 + 1$  dimensions, as was illustrated in the case of the Gross-Neveu model with  $g > g_c$ . For  $L = 0$  we see the spontaneous breaking of parity, which takes  $x^9 \rightarrow -x^9$ , and so interchanges the two stable solutions. The  $x^9 = 0$  solution is parity symmetric but unstable, as was the case in the field theory. There is also a dynamically generated mass, as in the field theory. The string stretching between  $x^9(\psi = 0)$  and the D3-brane corresponds to a massive fermion. These solutions were also presented in [11].

For further comparison with the field theory we can compute the Chern-Simons terms on the probe worldvolume. In the presence of worldvolume gauge fields the D7-brane action contains the Wess-Zumino term

$$S_{\text{WZ}} = -\frac{1}{2}(2\pi\alpha')^2 N_7 T_7 \int \text{Tr} A \wedge F \wedge G_5 \tag{3.12}$$

where  $G_5$  is the RR 5-form field strength of the D3-brane background pulled back to the worldvolume. We first perform the integral over  $S^4$  and  $\psi$ . Now, the D3-brane charge is quantized as

$$\int_{S^5} G_5 = 2\kappa_{10}^2 T_3 N_3 . \tag{3.13}$$

Our probe solutions have  $\psi$  ranging from 0 to  $\pi/2$ , corresponding to one hemisphere of the  $S^5$ . Thus the angular integration gives half the result compared to (3.13), with a plus or minus sign depending on whether we wrap the north or south hemisphere. Using

$$T_3 T_7 = \frac{1}{g^2 (2\pi)^4 (2\pi\alpha')^6}, \quad 2\kappa_{10}^2 = g^2 (2\pi)^3 (2\pi\alpha')^4, \tag{3.14}$$

we find the Chern-Simons term

$$S_{\text{CS}} = \frac{k}{4\pi} \int d^3x \text{Tr} A \wedge F, \tag{3.15}$$

with

$$k = \pm \frac{1}{2} N, \quad N = N_3 N_7 . \tag{3.16}$$

This precisely matches the one-loop result in field theory, which is not surprising since Chern-Simons terms do not arise beyond one-loop.

For the unstable  $x^9 = 0$  solution we instead find  $k = 0$ , since the pullback of  $G_5$  to the worldvolume vanishes in this case.

We now have all we need to describe the zero temperature quantum Hall plateau transition. In analogy to what we did on the field theory side, we consider starting at positive  $L$  and then smoothly evolving  $L$  to negative values. For  $L \neq 0$  we can read off the conductivities from the worldvolume action. As usual, the currents are defined by the variation of the on-shell action with respect to the boundary values of the gauge fields. In the present case we can read off the answer without any detailed computation. The longitudinal conductivity is zero, as is always the case for a brane not passing through a horizon [77, 80]. The transverse conductivity arises from the Chern-Simons term, giving  $\sigma_{ij}^T = \frac{k}{2\pi} \epsilon_{ij}$ . Thus as we take  $L$  towards zero we move along a quantum Hall plateau, with fixed  $\sigma^T$ . Precisely as we cross  $L = 0$  we see that  $k$  flips sign, and we jump from one plateau to another. Here the jump is  $\Delta k = N_3 N_7$ , but by instead moving just a single D7-brane we would have  $\Delta k = N_3$ .

### 3.4 Finite temperature

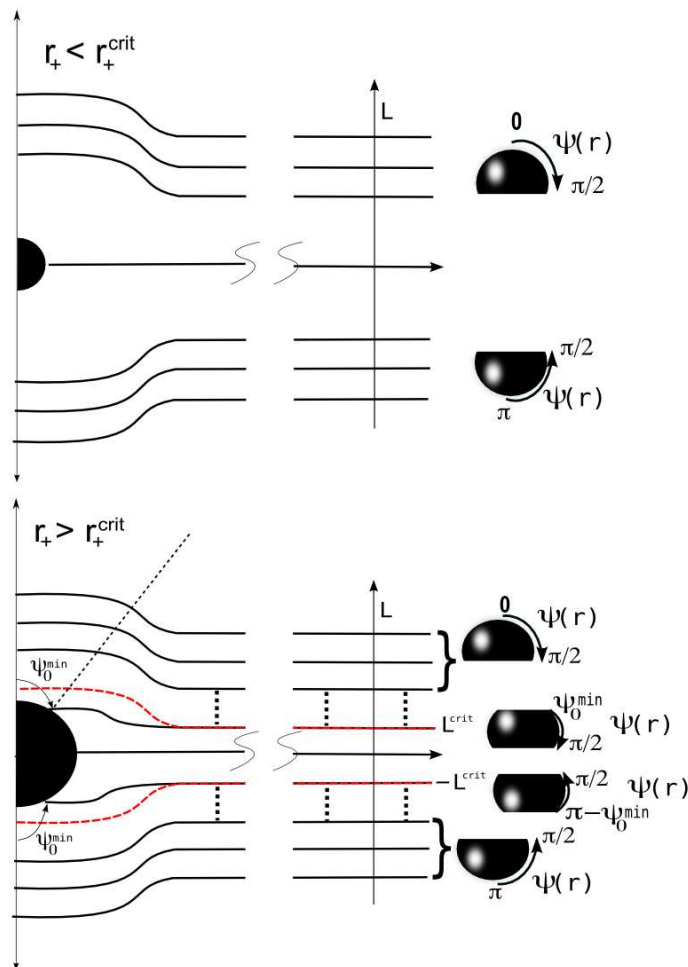
We now examine the finite temperature transition. It is intuitively clear that for  $r_+ \ll R$  the situation is qualitatively the same as at  $r_+ = 0$ , since there the probe stayed a finite distance away from the origin. New behavior can only occur once the black hole approaches the location of the probe. One new feature that can arise is that the probe can fall through the horizon. Using standard parlance, we refer to probe geometries that stay outside the horizon as “Minkowski embeddings”, and those that fall through as “black hole embeddings”. Black hole embeddings only exist<sup>8</sup> for  $r_+ > r_+^{\text{crit}}$ , where  $r_+^{\text{crit}}$  is determined numerically to be  $r_+^{\text{crit}} \approx .22$  in  $R = 1$  units.

In the low temperature regime,  $r_+ < r_+^{\text{crit}}$ , the situation matches what we found on the field theory side. As we lower  $L$  we find a family of Minkowski embeddings. At  $L = 0$  there are two solutions related by parity, representing the two vacua with spontaneously broken parity. As  $L$  passes through zero the probe undergoes a first order phase transition, jumping from one vacuum to the other. This represent the quantum Hall plateau transition, which is thus discontinuous at low temperature.

Now turn to the high temperature regime,  $r_+ > r_+^{\text{crit}}$ , where there are both Minkowski and black hole embeddings. For sufficiently large (small)  $L$  there are only Minkowski (black hole) embeddings, while for an intermediate range both are possible. The Minkowski embeddings can be labeled by  $x^9(\psi = 0)$ , and we find that  $L$  monotonically decreases with decreasing  $x^9(\psi = 0)$ , reaching a finite value for  $x^9(\psi = 0) = r_+$ . For the black hole embeddings we specify the angle  $\psi_0$  at which they enter the horizon. It turns out that  $L(\psi_0)$  is a non-monotonic function of  $\psi_0$ , reaching a maximum at some finite  $\psi_{0,crit}$ ; call this  $L_{crit}$ . This leads to a discontinuous jump from a Minkowski embedding to a black hole embedding: starting from large  $L$  (and hence a Minkowski embedding) we lower  $L$  until we reach  $L_{crit}$ , at which point the probe jumps over to the black hole embedding with

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<sup>8</sup>For the special case  $x^9 = 0$  there is a black hole embedding. In fact, there are black hole embeddings for other values of  $L$  as well, but they are never energetically favored so we ignore them.



**Figure 4:** Finite temperature probe embeddings (schematic): At low temperatures ( $r_+ < r_+^{\text{crit}}$ ) the probe is described by a Minkowski embedding, and we jump from the upper branch of solutions to the lower branch as  $L$  is lowered. At high temperatures ( $r_+ > r_+^{\text{crit}}$ ) both Minkowski and black hole embeddings exist. As  $L$  is lowered, we jump from a Minkowski embedding (denoted by the red dotted line) to a black hole embedding. Further reduction of  $L$  takes us through a family of black hole embeddings, until we eventually jump back to the Minkowski embeddings. The transverse conductivity varies continuously among the family of black hole embeddings.

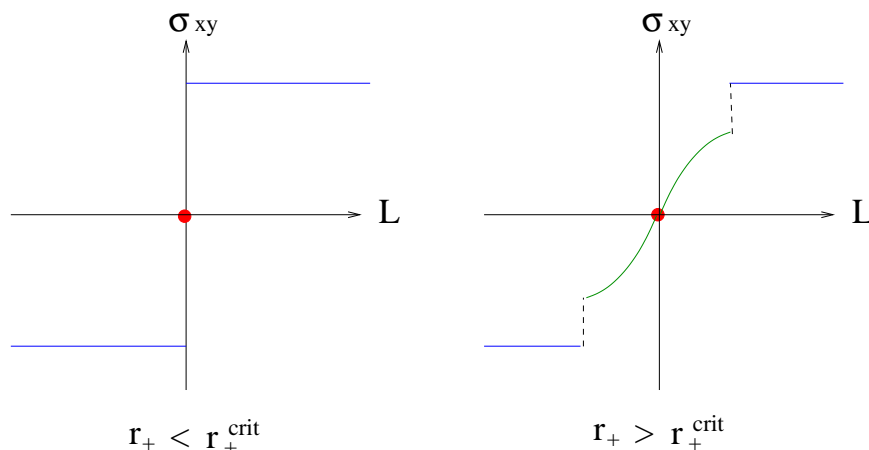
$\psi_0 = \psi_{0,\text{crit}}$ .<sup>9</sup> From then on, further reduction of  $L$  takes us smoothly through a family of black hole embeddings.<sup>10</sup> Eventually we pass smoothly through  $L = 0$  to negative  $L$ , at which point the story repeats itself in the reverse order.

The high temperature quantum Hall plateau transition thus becomes partially smoothed out, while still retaining some discontinuous behavior. To explore this further we

<sup>9</sup>This behavior is familiar from other examples of D-brane probes in black-brane backgrounds, see e.g. [16].

<sup>10</sup>Due to the non-monotonic behavior noted above, for fixed  $L$  there are generically two such black hole embeddings, with distinct values of  $\psi_0$ . However, the branch of solutions with the larger  $\psi_0$  is energetically preferred, and so we restrict attention to it.





**Figure 5:** Transverse conductivities (schematic). At low temperature ( $r_+ < r_+^{\text{crit}}$ ) the conductivities jump directly between the two plateaus. At high temperature ( $r_+ > r_+^{\text{crit}}$ ) the transition is partially smoothed out. The blue segments denote Minkowski embeddings; the green segment denotes black hole embeddings; and the red dot denotes the  $x^9 = 0$  embedding. The green segment starts out with zero size at  $r_+ = r_+^{\text{crit}}$ , grows with increasing  $r_+$ , and reaches a limiting size at large temperature. The blue and green segments never connect, and so the plateau transition is never completely continuous.

can compute the transverse conductivity as a function of  $L$ . To do so we return to (3.12). For the black hole embeddings  $\psi$  takes the range  $\psi \in [\psi_0, \frac{\pi}{2}]$ . The probe thus wraps a fraction of the sphere

$$F(\psi_0) = \frac{\int_{\psi_0}^{\frac{\pi}{2}} d\psi \sin^4 \psi}{\int_{-\frac{\pi}{2}}^{\frac{\pi}{2}} d\psi \sin^4 \psi} = -\frac{1}{\pi} \left( \psi_0 - \frac{\pi}{2} \right) + \frac{1}{3\pi} \sin \psi_0 \cos \psi_0 (5 - 2 \cos^2 \psi_0). \quad (3.17)$$

This is for  $\psi_0 > \frac{\pi}{2}$ ; for  $\psi_0 < \frac{\pi}{2}$  we instead have  $F(\psi_0) = F(\pi - \psi_0)$ . The coefficient of the Chern-Simons term is then

$$k = \pm N F(\psi_0), \quad (3.18)$$

where the  $+(-)$  sign corresponds to the upper (lower) hemisphere. The result in terms of  $L$  can be obtained from the numerical solution for  $\psi_0(L)$ .

#### 4. Conductivity of black hole embedding

Above, we found that at sufficiently high temperatures and for sufficiently light fermions (i.e. small  $L$ ) the probe geometry is described by a family of black hole embeddings. These solutions describe the transition region from one quantum Hall plateau to the next. It is of interest to compute the DC conductivities during this transition.

To compute the conductivities on the gravity side we use the AdS/CFT correspondence. In fact, since it is easy to do so, we will generalize the computation to include a

nonzero magnetic field and electric charge density.<sup>11</sup> We take the near horizon limit<sup>12</sup> of the geometry, so that the metric on the probe becomes the following

$$ds_8^2 = \frac{r^2}{R^2}(-hdt^2 + dx^i dx^i) + \frac{R^2}{r^2}(h^{-1} + r^2\psi'^2)dr^2 + R^2 \sin^2 \psi d\Omega_4^2 \quad (4.1)$$

with

$$h = 1 - \frac{r_+^4}{r^4} . \quad (4.2)$$

Note that we are parameterizing the probe via  $\psi = \psi(r)$ . Following the logic of [52] it is most convenient to work in Eddington-Finkelstein coordinates, since they extend smoothly across the future horizon. Defining  $\tilde{r}(r)$  via

$$\tilde{r} = \int_{r_+}^r dx \sqrt{1 + h(x)x^2\psi'(x)^2} \quad (4.3)$$

and defining the advanced coordinate  $v$  in the usual fashion, the metric takes the form

$$ds^2 = 2dv d\tilde{r} - U(r)dv^2 + \frac{r^2}{R^2}dx^i dx^i + R^2 \sin^2 \psi d\Omega_4^2 \quad (4.4)$$

with

$$U = \frac{r^2}{R^2}h = \frac{r^2}{R^2} - \frac{r_+^4}{R^2 r^2} . \quad (4.5)$$

The action of the probe is given by the Born-Infeld action plus the Chern-Simons term with coefficient (3.18). The contribution of the Chern-Simons term is immediate, and so we will just add it on at the end. After integrating over the  $S^4$  the Born-Infeld contribution to the probe action becomes

$$S_{BI} = -\tau \int d^4x \sin^4 \psi \sqrt{-\det(g_4 + 2\pi\alpha' F)} \quad (4.6)$$

with

$$\tau = N_7 T_7 R^4 V(S^4) = N_7 \left( \frac{1}{g_s (2\pi)^7 \alpha'^4} \right) (4\pi g_s \alpha'^2 N_3) \left( \frac{8}{3} \pi^2 \right) = \frac{1}{3\pi^2} \frac{1}{(2\pi\alpha')^2} N_3 N_7 . \quad (4.7)$$

We look for a solution of the gauge field equations of motion, subject to the boundary condition of nonzero electric and magnetic fields on the boundary. We thus consider gauge field ansatz

$$\begin{aligned} A_{\tilde{r}} &= 0 \\ A_v &= a_v(\tilde{r}) + E^i x^i \\ A_j &= \frac{1}{2} B \epsilon_{ij} x^i + a_j(\tilde{r}) . \end{aligned} \quad (4.8)$$

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<sup>11</sup>See [80, 81, 24] for similar computations for the supersymmetric 3+1 dimensional  $D3-D7$  intersections and [21] for the Sakai-Sugimoto scenario.

<sup>12</sup>Strictly speaking, this involves taking  $r_+ \ll R$  with errors of  $O(\frac{r_+}{R})$ . However as seen in the previous section, black hole embeddings only occur for  $r_+ > r_+^{\text{crit}} \approx .22R$ . Thus if we consider  $r_+ \sim r_+^{\text{crit}}$  in this section, then we expect corrections of order 22%.

We raise and lower  $ij$  indices with  $\delta_{ij}$ .

Working out the field strengths and plugging into (4.6) gives the action<sup>13</sup>

$$S_{BI} = -\tau \int d^4x \sin^4 \psi \left[ \left( B^2 + \frac{r^4}{R^4} \right) (1 - (\partial_{\tilde{r}} a_v)^2) + U \frac{r^2}{R^2} (\partial_{\tilde{r}} a_i)^2 - 2 \frac{r^2}{R^2} E^i \partial_{\tilde{r}} a_i + 2B \partial_{\tilde{r}} a_v \epsilon_{ij} E^i \partial_{\tilde{r}} a_j - (\epsilon_{ij} E^i \partial_{\tilde{r}} a_j)^2 \right]^{\frac{1}{2}}. \quad (4.9)$$

From the AdS/CFT dictionary the charge density and current are given by [81]

$$\rho = \frac{\partial \mathcal{L}}{\partial(\partial_{\tilde{r}} a_v)}, \quad j^i = \frac{\partial \mathcal{L}}{\partial(\partial_{\tilde{r}} a_i)}. \quad (4.10)$$

Since  $a_{v,i}$  only appear in the action with  $\tilde{r}$  derivatives, we see that  $\rho$  and  $j^i$  are constant in  $\tilde{r}$ , and hence can be evaluated at any convenient radius.

We will work perturbatively in the electric field  $E^i$ . For  $E^i = 0$ , by inverting (4.10) we have the solution

$$\partial_{\tilde{r}} a_v = \frac{\rho/\tau}{\sqrt{\rho^2/\tau^2 + (B^2 + r^4/R^4) \sin^8 \psi}} \quad (4.11)$$

and with  $a^i = 0$ .

Now we go to first order in  $E^i$ . To this order we can take  $a_v$  as in (4.11). We then write out the expression for the current in (4.10). It is most convenient to write the result at  $r_+$ , where  $U = 0$  and  $\psi = \psi_0$ , which gives

$$j^i = \tau \frac{\sqrt{\rho^2/\tau^2 + (B^2 + r_+^4/R^4) \sin^8 \psi_0}}{B^2 + r_+^4/R^4} \left( \frac{r_+^2}{R^2} E^i + B \partial_{\tilde{r}} a_v \epsilon_{ij} E^j \right). \quad (4.12)$$

Writing

$$j^i = \sigma^L E^i + \sigma^T \epsilon_{ij} E^j \quad (4.13)$$

we get, after restoring the factors  $2\pi\alpha'$  and using (4.7),

$$\begin{aligned} \sigma^L &= \frac{N}{3\pi^2} \frac{r_+^2}{R^2} \frac{\sqrt{(2\pi\alpha'\rho)^2/(2\pi\alpha')^4\tau^2 + ((2\pi\alpha'B)^2 + r_+^4/R^4) \sin^8 \psi_0}}{(2\pi\alpha'B)^2 + r_+^4/R^4} \\ \sigma^T &= \frac{(2\pi\alpha'\rho)(2\pi\alpha'B)}{(2\pi\alpha'B)^2 + r_+^4/R^4}. \end{aligned} \quad (4.14)$$

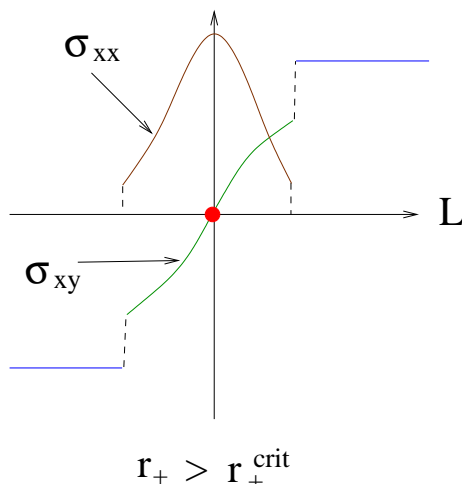
As usual  $N = N_3 N_7$ . Finally, we can add the contribution of the Chern-Simons term:

$$\begin{aligned} \sigma^L &= 0 \\ \sigma^T &= \pm \frac{NF(\psi_0)}{2\pi}, \end{aligned} \quad (4.15)$$

with  $F(\psi_0)$  given by (3.17).

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<sup>13</sup>To avoid clutter we now absorb factors of  $(2\pi\alpha')$  into the field strengths, and will restore them later.



**Figure 6:** Longitudinal and transverse conductivities (schematic).

To make a heuristic comparison with the free fermion result (2.10) we set  $\rho = B = 0$ , which gives

$$\sigma^T = 0, \quad \sigma^L = \frac{N}{3\pi^2}, \quad (\rho = B = 0). \quad (4.16)$$

We see that the longitudinal conductivity at strong coupling differs from the free fermion result by a factor  $3\pi^2/16 \approx 1.85$ . We call this comparison heuristic because we are actually comparing two different things. In general the AC conductivity is a function of  $\omega/T$ ; in the free fermion case we first set  $T = 0$  and then  $\omega = 0$ , while on the gravity side we first set  $\omega = 0$  and then  $T = 0$ . Thus the comparison made here is really between  $\omega/T = \infty$  and  $\omega/T = 0$ , which can give different results.

Another relevant limit is zero temperature but finite charge and magnetic field:

$$\sigma^T = \frac{\rho}{B}, \quad \sigma^L = 0, \quad (r_+ = 0). \quad (4.17)$$

This gives the standard expression for the classical Hall conductivity. As is well known, this result follows from boost invariance. Note that in (4.14) the Hall conductivity is a nontrivial function of temperature. This occurs because the finite temperature black hole background is not boost invariant. From the dual field theory point of view, we are computing the conductivity with respect to the 3-7 strings in the background of a thermal gas of 3-3 strings. The electric field accelerates the 3-7 strings but not the 3-3 strings. See [80, 81] for more discussion of this point.

Finally, let us discuss the possibility of defining the critical exponent  $1/\nu z$ . Strictly speaking, this is not well defined here, since the definition of critical exponents is based on having scaling behavior at the transition, which is not realized here due to the discontinuity in the conductivity. Nevertheless, one could contemplate defining an exponent in terms of the slope of the curve. The slope at the origin is ill-defined at the transition point, since the continuous part of the curve has zero size at the critical temperature. Alternatively, one could compute an average slope  $\left(\frac{\Delta\sigma_{xy}}{\Delta L}\right)$  where  $\Delta\sigma_{xy}$  is the difference in transverse conductivity between the two plateaus and  $\Delta L$  is some estimated width of the transition

region. Due to the instability of the numerics in the critical temperature region, this is a rather difficult computation which we hope to return to in future work.

## 5. Discussion

We have shown that quantum Hall plateau transitions can be realized in string theory in terms of the relative motion of D3 and D7 branes. The strongly coupled version can be studied using techniques of gauge/gravity duality. We found that the details of the transition share some similarities and some differences with the usual transition realized experimentally. Strong coupling triggers dynamical symmetry breaking and mass generation, which leads to a transition that remains discontinuous at low temperature. At high temperature it is partially smoothed out, but a finite jump in conductivities persists. The relation between the two picture is summarized by comparing figures 1 and 6.

There are a number of natural generalizations of the current setup that we hope to report on in the near future, including adding nonzero magnetic fields and charge densities. There are other extensions that would be interesting to explore, if possible. One would be to realize a fractional QHE plateau transition. Our present theory has a weak coupling description in terms of D-branes in Minkowski space, and hence has a purely integer charge spectrum. To obtain the fractional case, any weak coupling limit would have to exhibit charge fractionalization, possibly due to an orbifold geometry. It would of course be interesting to find a setup in which the plateau transitions corresponds to a quantum phase transition, rather than the partially discontinuous finite temperature transition obtained here. Much of the current interest in the QHE effect involves the study of graphene, and one can hope to make contact with that work, as in [11].

## Acknowledgments

We thank Ivailo Dimov, Clifford Johnson, Pallab Goswami, and Esko Keski-Vakkuri for discussions, and Soo-Jong Rey for communication. Work of PK and JD supported in part by NSF grant PHY-0456200.

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